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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/609.045

06/27/2003

Jeremy Paul Smith

693-11-PA

CONFIRMATION NO. 8479

Howard J. Klein KLEIN, O'NEILL & SINGH, LLP Suite 510 2 Park Plaza

Irvine, CA 92614

FORMALITIES LETTER

OC00000010938517

Date Mailed: 09/29/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 3 - OFFICE COPY

Effective OUTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED
See www.uspto.gov for complete FY 2003
and FY-2004 Fee Schedules

* Indicates fees remain at FY 2003

DESCRIPTION	and i i 2004 i oo oonodulee		amount
Basic filling fee - Utility (Small Entity)	DESCRIPTION	FY 2003	FY 2004
Basic filling fee - Utility (Small Entity) \$375 \$385 Independent claims in excess of three \$84 \$86 Independent claims in excess of three (Small Entity) \$42 \$43 Claims in excess of twenty \$18 \$18* Claims in excess of twenty (Small Entity) \$9 \$9* Multiple dependent claim \$280 \$290 Multiple dependent claim (Small Entity) \$140 \$145 Surcharge - Late filing fee \$130 \$130 Surcharge - Late filing fee \$330 \$340 Design filing fee \$330 \$340 Design filing fee (Small Entity) \$165 \$65 Design filing fee (Small Entity) \$260 \$265 Plant filing fee (Small Entity) \$260 \$265 Reissue filing fee (Small Entity) \$260 \$265 Reissue independent claims (Small Entity) \$375 \$385 Reissue independent claims (Small Entity) \$42 \$43 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18	Basic filing fee - Utility	\$750	\$770
Independent claims in excess of three (Small Entity)	Basic filing fee - Utility (Small Entity)	\$375	\$385
Claims in excess of twenty \$18 \$18*	Independent claims in excess of three	\$84	
Claims in excess of twenty \$18 \$18*	Independent claims in excess of three (Small Entity)	\$42	\$43
Multiple dependent claim (Small Entity) \$140 \$145 Surcharge - Late filing fee \$130 \$130 Surcharge - Late filing fee (Small Entity) \$65 \$65 Design filing fee \$330 \$340 Design filing fee (Small Entity) \$165 \$170 Plant filing fee (Small Entity) \$260 \$250 Plant filing fee (Small Entity) \$260 \$265 Reissue filing fee (Small Entity) \$375 \$375 Reissue filing fee (Small Entity) \$375 \$385 Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18* Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110	Claims in excess of twenty	\$18	\$18*
Multiple dependent claim (Small Entity) \$140 \$145 Surcharge - Late filing fee \$130 \$130 Surcharge - Late filing fee (Small Entity) \$65 \$65 Design filing fee \$330 \$340 Design filing fee (Small Entity) \$165 \$170 Plant filing fee (Small Entity) \$260 \$250 Plant filing fee (Small Entity) \$260 \$265 Reissue filing fee (Small Entity) \$375 \$375 Reissue filing fee (Small Entity) \$375 \$385 Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18* Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110	Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim (Small Entity) \$140 \$145 Surcharge - Late filing fee \$130 \$130 Surcharge - Late filing fee (Small Entity) \$65 \$65 Design filing fee \$330 \$340 Design filing fee (Small Entity) \$165 \$170 Plant filing fee (Small Entity) \$260 \$265 Reissue filing fee (Small Entity) \$260 \$265 Reissue filing fee (Small Entity) \$375 \$385 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18* Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - Second month \$410 \$420 Extension - Fourth month (Small Entity) \$25		\$280	\$290
Surcharge - Late filing fee \$130	Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee (Small Entity)	Surcharge - Late filing fee	\$130	\$130
Design filing fee \$330 \$340 Design filing fee (Small Entity) \$165 \$170 Plant filing fee \$520 \$530 Plant filing fee (Small Entity) \$260 \$265 Plant filing fee (Small Entity) \$260 \$265 Reissue filing fee \$750 \$770 Reissue filing fee (Small Entity) \$375 \$385 Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$118 Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160 Provisional application filing fee (Small Entity) \$80 \$80 Provisional application filing fee (Small Entity) \$80 \$80 Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month (Small Entity) \$55 \$55* Extension - First month (Small Entity) \$205 \$210 Extension - Second month (Small Entity) \$465 \$475 Extension - Third month (Small Entity) \$725 \$270 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fourth month (Small Entity) \$985 \$1,005 Petition \$985 \$1,005 Petition \$130 \$130*	Surcharge - Late filing fee (Small Entity)	\$65	\$65
Design filing fee (Small Entity) \$165 \$170 Plant filing fee \$520 \$530 Plant filing fee (Small Entity) \$260 \$265 Reissue filing fee \$750 \$770 Reissue filing fee (Small Entity) \$375 \$385 Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$118 Reissue claims in excess of twenty \$9 \$9 Provisional application filing fee \$160 \$160 Provisional application filing fee (Small Entity) \$80 \$80 Surcharge - Late provisional filing fee (Small Entity) \$25 \$25 Surcharge - Late provisional filing fee (Small Entity) \$25 \$25 Non-English Specification \$130 \$130 Extension - First month \$110 \$110 Extension - First month (Small Entity) \$25 \$25 Extension - Second month (Small Entity) \$25 \$25 Extension - Second month (Small Entity) \$205 \$210 Extension - Third month (Small Entity) \$45 \$475 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130	Design filing fee	\$330	\$340
Plant filing fee \$520 \$530 Plant filing fee (Small Entity) \$260 \$265 Reissue filing fee \$750 \$770 Reissue filing fee (Small Entity) \$375 \$385 Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18* Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - First month (Small Entity) \$55 \$55* Extension - Second month \$410 \$420 Extension - Third month \$1,450 \$1,480 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month \$1,450 \$1,480	Design filing fee (Small Entity)	\$165	\$170
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Reissue filing fee \$750 \$770 Reissue filing fee (Small Entity) \$375 \$385 Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18* Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - First month (Small Entity) \$55 \$55* Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month \$1,450 \$1,480 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005<	Plant filing fee (Small Entity)	\$260	\$265
Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18* Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee \$50 \$50* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - First month (Small Entity) \$55 \$55* Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month \$1,460 \$1,480 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month \$1,005 Extension - Fifth month (Small Entity) \$985 \$1,005 <	Reissue filing fee	\$750	\$770
Reissue independent claims \$84 \$86 Reissue independent claims (Small Entity) \$42 \$43 Reissue claims in excess of twenty \$18 \$18* Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee \$50 \$50* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - First month (Small Entity) \$55 \$55* Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month \$1,460 \$1,480 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month \$1,005 Extension - Fifth month (Small Entity) \$985 \$1,005 <	Reissue filing fee (Small Entity)	\$375	\$385
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Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee \$50 \$50* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - First month (Small Entity) \$55 \$55* Extension - Second month \$410 \$420 Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*	Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty (Small Entity) \$9 \$9* Provisional application filing fee \$160 \$160* Provisional application filing fee (Small Entity) \$80 \$80* Surcharge - Late provisional filing fee \$50 \$50* Surcharge - Late provisional filing fee (Small Entity) \$25 \$25* Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - First month (Small Entity) \$55 \$55* Extension - Second month \$410 \$420 Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*	Reissue claims in excess of twenty		\$18*
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Non-English Specification \$130 \$130* Extension - First month \$110 \$110* Extension - First month (Small Entity) \$55 \$55* Extension - Second month \$410 \$420 Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*		. 	\$50*
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Extension - First month (Small Entity) \$55 \$55* Extension - Second month \$410 \$420 Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130*	Non-English Specification	\$130	\$130*
Extension - Second month \$410 \$420 Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*		\$110	\$110*
Extension - Second month (Small Entity) \$205 \$210 Extension - Third month \$930 \$950 Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*	Extension - First month (Small Entity)	\$55	\$55*
Extension - Third month \$930 \$950 Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*	Extension - Second month	\$410	\$420
Extension - Third month (Small Entity) \$465 \$475 Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*	Extension - Second month (Small Entity)	\$205	\$210
Extension - Fourth month \$1,450 \$1,480 Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*	Extension - Third month	\$930	\$950
Extension - Fourth month (Small Entity) \$725 \$740 Extension - Fifth month \$1,970 \$2,010 Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130 \$130*		\$465	\$475
Extension - Fifth month\$1,970\$2,010Extension - Fifth month (Small Entity)\$985\$1,005Petition\$130\$130*		\$1,450	\$1,480
Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130	Extension - Fourth month (Small Entity)	\$725	\$740
Petition \$130 \$130*		\$1,970	\$2,010
	Extension - Fifth month (Small Entity)		\$1,005
Processing returned checks \$50 \$50*		\$130	\$130*
	Processing returned checks	\$50	\$50*

For further fee information, please call (703-308-HELP)